

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jacobson  
Appl. No. : 09/974,936  
Patent No. : 6,767,662  
Issued : July 27, 2004  
For : ELECTROCHEMICAL DEVICE AND  
PROCESS OF MAKING

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**ERROR IN SMALL ENTITY STATUS**

Mail Stop M Correspondence  
Director of the USPTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The assignee of the whole interest in the above-referenced patent is the Regents of the University of California, a nonprofit organization, and as such had been entitled to be accorded small entity status pursuant to 37 CFR 1.27 (a)(3).

During prosecution of this patent application, the Regents of the University of California entered into a license agreement with a large for-profit company for rights to the patent. At that time we began paying normal fees. The loss of small entity status was further noted on the Issue Fee Transmittal, a copy of which is attached.

It has come to our attention that for the purpose of Maintenance Fees this patent is listed as having small entity status. We would appreciate it if you would correct this error.

Respectfully submitted,  
Regents of the University of California  
Customer No. 08076

Date: August 21, 2006

By: /R'Sue Popowich Caron/

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Complete and send this form, together with applicable fee(s), to: Mail or Fax

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Charles R. Nold (Registration mark)  
C.R.N. (Signature)  
6/17/2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/974,936	10/02/2001	Craig P. Jacobson	IB-1405	1117

TITLE OF INVENTION: ELECTROCHEMICAL DEVICE AND PROCESS OF MAKING

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
provisional	YES NO	\$665	\$300	\$965	06/17/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
KALAFUT, STEPHEN I	1745	429-030000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.332)

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Charles R. Nold

2. Lawrence Berkeley

3. National Laboratory

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
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(A) NAME OF ASSIGNEE (B) RESIDENCE (CITY AND STATE OR COUNTRY)

The Regents of the University of California Oakland, California USA

Please check the appropriate assignee category or categories (will not be printed on the patent):  
☐ individual ☐ corporation or other private gross entity ☒ government

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☒ Advance Order - # of Copies 10

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